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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Peloquin et al

Serial No.: 09/734,811

Filed: December 11, 2000

For: Method and an Apparatus to Extend the Logic Volume Manager Model to Allow Device Management

Plug-Ins

35525

PATENT TRADEMARK OFFICE CUSTOMER NUMBER Group Art Unit: 2126

Examiner: Truong, Lechi

Attorney Docket No.: AUS9-2000-0509-US1

Certificate of Mailing Under 37 C.F.R. § 1.8(a)

I hereby certify this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

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Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

ENCLOSED HEREWITH:

- Supplemental Information Disclosure Statement;
- Form PTO-1449;
- References AA-AC; and
- Our return postcard.

A fee of \$180.00 is believed to be required. I authorize the Commissioner to charge these fees to IBM Corporation Deposit Account No. 09-0447. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to IBM Corporation Deposit Account No. 09-0447.

Respectfully submitted,

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Registration No. 34,285

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ATTORNEY FOR APPLICANTS



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re application of: Peloquin et al. | § | |
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| - | § | Group Art Unit: 2126 |
| Serial No.: 09/734,811 | § | |
| | § | Examiner: Truong, Lechi |
| Filed: December 11, 2000 | § | |
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| For: Method and an Apparatus to Extend | § | |
| the Logic Volume Manager Model to | § | |
| Allow Device Management Plug-Ins | § | |

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97.

Please charge any fees necessary for prosecution of the present application to Deposit Account No. 09-0447. If any extension of time is required, such extension is hereby requested. Please charge any additional required fee for extension of time to Deposit Account No. 50-0392.

In accordance with 37 C.F.R. 1.97(h), the filing of this Supplemental Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standards of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical

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Peloquin et al. – 09/734,811 Page 1 of 2 background which may be of interest to the Examiner. In accordance with 37 C.F.R. 1.97(g), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made.

This Information Disclosure Statement is being filed after the mailing date of a final action or a notice of allowance, on or before the payment of the issue fee.

No item of information cited in this Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this Supplemental Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this Supplemental Information Disclosure Statement.

Please charge the required fee of \$180.00 as set forth in § 1.17(p) to Deposit Account No. 09-0447.

Respectfully submitted,

Date: _____//) / (

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YEE & ASSOCIATES, P.C. P.O. Box 802333

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(972) 385-8777

| Form PTO-1449 | | | | ATTORNEY DOCKET NO. AUS9-2000-0509-US1 | SERIAL NO. 09/734,811 | |
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| EXAMINER INITIAL | | DOCUMENT NO. | PUBLICATION DATE | INVENTOR NAME | CLASS/ SUBCLASS | FILING DATE |
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